



SECTOR

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

Applicant : Kurt C. McCracken et al. Art Unit : Unknown
Serial No. : 09/610,828 Examiner : Unknown
Filed : July 6, 2000
Title : MANAGING INVESTMENT ASSETS

BOX MISSING PARTS

Commissioner for Patents
Washington, D.C. 20231

RESPONSE TO NOTICE TO FILE MISSING PARTS OF APPLICATION

In response to the Notice to File Missing Parts of Application under 37 CFR §1.53(b) mailed September 8, 2000 (copy enclosed), applicant as a large entity submits herewith the following:

- ☒ Payment of the basic filing fee of \$355;
- ☒ Payment of the additional/multiple dependent claims fees of \$45;
- ☒ Check in payment of \$65 surcharge for filing the basic filing fee and/or declaration on a date later than the filing date of the application;
- ☒ Combined Declaration and Power of Attorney in compliance with 37 CFR §1.63;

It is understood that this perfects the application and no additional papers or filing fees are required. Please apply any other charges or credits to Deposit Account No. 06-1050.

CERTIFICATE OF MAILING BY FIRST CLASS MAIL

I hereby certify under 37 CFR §1.8(a) that this correspondence is being deposited with the United States Postal Service as first class mail with sufficient postage on the date indicated below and is addressed to the Commissioner for Patents, Washington, D.C. 20231.

December 1, 2000
Date of Deposit

Marie Collins
Signature

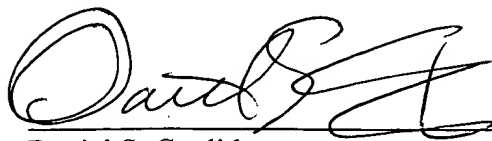
Marie Collins
Typed or Printed Name of Person Signing Certificate

Applicant : Kurt C. McCracken
Serial No. : 09/610,828
Filed : July 6, 2000
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Attorney's Docket No.: 12016-002001

Respectfully submitted,

Date: 12/1/00


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COMMISSIONER FOR PATENTS
UNITED STATES PATENT AND TRADEMARK OFFICE
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APPLICATION NUMBER	FILING/RECEIPT DATE	FIRST NAMED APPLICANT	ATTORNEY DOCKET NUMBER
09/610,828	07/06/2000	Kurt C. McCracken	12016-002001

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FORMALITIES LETTER



OC000000005386501

Date Mailed: 09/08/2000

NOTICE TO FILE MISSING PARTS OF NONPROVISIONAL APPLICATION

FILED UNDER 37 CFR 1.53(b)

Filing Date Granted

An application number and filing date have been accorded to this application. The item(s) indicated below, however, are missing. Applicant is given TWO MONTHS from the date of this Notice within which to file all required items and pay any fees required below to avoid abandonment. Extensions of time may be obtained by filing a petition accompanied by the extension fee under the provisions of 37 CFR 1.136(a).

- The statutory basic filing fee is missing.
Applicant must submit \$ 690 to complete the basic filing fee and/or file a small entity statement claiming such status (37 CFR 1.27).
- Total additional claim fee(s) for this application is \$90.
 - \$90 for 5 total claims over 20.
- The oath or declaration is unsigned.
- To avoid abandonment, a late filing fee or oath or declaration surcharge as set forth in 37 CFR 1.16(e) of \$130 for a non-small entity, must be submitted with the missing items identified in this letter.
- The balance due by applicant is \$ 910.

*A copy of this notice **MUST** be returned with the reply.*

Customer Service Center

Initial Patent Examination Division (703) 308-1202

12/07/2000 GTEFFERA 00000055 09610828 PART 2 - COPY TO BE RETURNED WITH RESPONSE

01 FC:201	355.00 OP
02 FC:205	65.00 OP
03 FC:203	45.00 OP